



GLOBAL ELECTRONIC TRADING PTY LTD

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GET Financial

**CLIENT APPLICATION FORM
JOINT NAMES**



GET FINANCIAL CLIENT APPLICATION FORM

Instructions on how to complete the client application form

1. Before completing the Client Application Form, make sure you have read and understood all information outlined in the General Business Terms, the Product Disclosure Statement and the Risk Disclosure Statement.
2. Postal returns should be sent to: Compliance Officer. Global Electronic Trading Pty Ltd
Suite 12.14, 401 Docklands Drive, Docklands, Vic. 3008.
3. Fax Details +61 3 9867 5567 Attn Compliance Officer.
4. E mail info@getfinancial.com.au
5. Detailed information on how to transfer funds will be sent once the account application has been approved.
6. A minimum of AUD \$500.00 or currency equivalent is required as the initial deposit.
7. If you have any questions relating to the application form contact an advisor on +61 3 9862 5000 or email info@getfinancial.com.au

Joint Accounts

- All joint account applicants must complete Section 1, Part 1, 2, 3 and 5 and Section 2.
- The Full Name section of Part 1 should be filled out in joint names.
- The Signature section of Part 5 should be signed by joint parties.
- Client's Name and Signature sections of Section 2 should be completed and signed by joint parties.
- For non Australian residents include a current utility bill with current address and **a copy of photo ID**, either your drivers licence or passport and return via options above.

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Section 1 - Client Registration

Global Electronic Trading Pty Ltd (AFSL 222629) is by required legislation to obtain information about its clients' financial position, investment experiences and investment objectives and needs, in order to provide the services requested, in the best interest of the client.

Part 1. General Client Details

Full Names: _____
Current Address: _____

State: _____
Postcode: _____
Country: _____
If less than 5 years at this address Previous Address: _____

State: _____
Country: _____
Postcode: _____
Drivers Licence Number: _____
Date Of Birth: <u> </u> <u> </u> <u> </u>
Drivers Licence Number: _____
Date Of Birth: <u> </u> <u> </u> <u> </u>
Phone (work): _____
Fax (work): _____
Phone (private): _____
Fax (private): _____
Mobile phone: _____
E-mail: _____

Do you have a current Simulation Account?

Yes No

Simulation Account number: _____

Would you like to extend your Simulation Account for another 30 days?

Yes No

***Please note Simulation Accounts can only be extended beyond this once your new account has been funded.**

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Part 2. Investment Experience

Have you used an Internet Share Trading Platform before? Yes No

Would you consider yourself

- A professional investor
- An experienced investor
- An inexperienced investor

Would you consider yourself

- Risk willing
- Risk neutral
- Risk adverse

How did you hear about GET Financial?

Please tick the instruments in which you have previously invested

- ASX Shares
 - International Shares
 - Other (please list)
 - CFD's / shares on margin
 - Real Estate
 - Options
 - Futures
 - Foreign Exchange
- _____

Trading objectives

- To attempt to achieve speculative gains
- To hedge other investment positions or physical stock held
- To hedge commercial risk

Which products issued by Global Electronic Trading Pty Ltd are of interest to you?

- ASX Shares
- International Shares
- CFDs
- Futures
- Options
- Foreign Exchange

Tick your preference for financial data feed for Australian Shares and CFD prices

- Live ASX data feed. A monthly fee of A\$37.50 is charged by the ASX (Compulsory for DMA)
- Delayed data feed. (approximatly 20 minute delay and no charge)

For CFD's do you require?

- DMA (Direct Market Access - must also subscribe for live ASX data above)
- STP (Straight Through Processing)

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Part 3. Individual Disclosure

Financial information

Preferred base currency for your account if other than AUD _____

Approximate risk capital in base currency _____

Expected initial deposit in base currency _____

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Part 5. Signature

I/We declare by my/our signature(s)

To have read understood and agreed to the General Business Terms, Risk Disclosure Document, and Products Disclosure Statement and all of its contents.

To understand and accept the General Business terms and any other relevant terms and conditions (as amended from time to time) that apply to my/our entire account and trading relationship with GET.

To have received read and understood the Product Disclosure Statement relating to the relevant products.

To have received additional in depth verbal information about the products and the inherent risk possibilities associated.

To have received satisfactory answers to all my/our questions regarding the terms and conditions and other issues relating to the relevant products.

Furthermore I/We Confirm:

That the information provided by me/us and inserted in this form is correct.

That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is considered by me/us to be reasonable under such circumstances.

That I/we acknowledge that GET is only able to provide General advice to such effect, provided that I/we have supplied GET with correct and accurate information in this Client Application Form or as otherwise requested by GET.

I hereby certify that the information disclosed above is true and accurate:

Place and date

Signature

_____ / _____

OFFICE USE ONLY

Section 1 of this Application is confirmed and accepted by **Global Electronic Trading Pty Ltd.**

NAME:.....

SIGNATURE:.....

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Section 2 - Risk Disclosure Statement for Foreign Exchange, CFDs and Futures

Client's Name:.....

This statement is given to you as required by section 1210 of the Corporations Law and the equivalent law of the State or Territory in which this statement is given.

This statement does not disclose all of the risks and other significant aspects of trading foreign exchange, contracts for difference (CFD's), Futures and options. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationship) into which you are entering and the extent of your exposure to risk. Trading in foreign exchange, CFD's, Futures and options is not suitable for many members of the public. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources and needs.

The risk of loss in trading foreign exchange, contracts for difference (CFD's), Futures and options can be substantial and you should consider this entire statement in conjunction with the relevant Product Disclosure Statement before deciding to become involved in this type of trading.

1. Effect of Leverage or Gearing

Transactions in foreign exchange, CFD's and Futures carry a high degree of risk. The amount of initial margin is small relative to the value of the foreign exchange, CFD or Futures contract so that transactions are "leveraged" or "geared". A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit with GET; this may work against you as well as for you. You may sustain a total loss of initial margin funds and any additional funds deposited with GET to maintain your position. If the market moves against your position or margin levels are increased, you may be called upon to pay substantial additional funds on short notice to maintain your position. If you fail to comply with a request for additional funds within the time prescribed, your position may be liquidated at a loss and you will be liable for any resulting deficit.

2. Risk-reducing Orders or Strategies

The placing of certain orders (e.g. "stop-loss" orders, where permitted under local law, or "stop-limit" orders), which are intended to limit losses to certain amounts, may not be effective because markets conditions make it impossible to execute such orders. Strategies using combinations of positions, such as "spread" and "straddle" positions may be as risky as taking simple "long" or "short" positions.

3. Variable Degree of Risk

Transactions in options carry a high degree of risk. Purchasers and sellers of options should familiarize themselves with the type of option (i.e., put or call) which they contemplate trading and the associated risks. You should calculate the extent to which the value of the options must increase for your position to become profitable, taking into account the premium and all transaction costs.

The purchaser of options may offset or exercise the options or allow the option to expire. The exercise of an option results either in a cash settlement or in the purchaser acquiring or delivering the underlying interest. If the purchased option expires worthless, you will suffer a total loss of your investment, which will consist of the option premium plus transaction costs. If you are contemplating purchasing deep-out-of-the-money options, you should be aware that the chance of such options becoming profitable ordinarily is remote.

Selling ("writing" or "granting") an option generally entails considerably greater risk than purchasing options. Although the premium received by the seller is fixed, the seller may sustain a loss well in excess of that amount. The seller will be liable for additional margin to maintain the position if the market moves unfavourably. The seller will also be exposed to the risk of the purchaser exercising the option and the seller will be obligated to either settle the option in cash or to acquire or deliver the underlying interest. If the option is "covered" by the seller holding a corresponding

position in the underlying interest or a future or another option, the risk may be reduced. If the option is not covered, the risk of loss can be unlimited.

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Certain exchanges in some jurisdictions permit deferred payment of the option premium, exposing the purchaser to liability for margin payments not exceeding the amount of the premium. The purchaser is still subject to the risk of losing the premium and transaction costs. When the option is exercised or expires, the purchaser is responsible for any unpaid premium outstanding at that time.

4. Suspension or Restriction of Trading and Pricing Relationships

Market condition (e.g., illiquidity) and/or the operation of the rules of certain markets (e.g., the suspension of trading in any contract or contract month because of price limits or "circuit breakers") may increase the risk of loss by making it difficult or impossible to effect transactions or liquidate/offset positions. If you have sold options, this may increase the risk of loss.

5. Commission and Other Charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss and are detailed in the Product Disclosure Statements for FX, CFD'S and Futures.

6. Transactions in Other Jurisdictions

Transactions on markets in other jurisdictions, including markets formally linked to a domestic market, may expose you to additional risk. Such markets may be subject to regulation, which may offer different or diminished investor protection. Your local regulatory authority will be unable to compel the enforcement of the rules of regulatory authorities or markets in other jurisdictions where your transactions have been effected.

7. Currency Risks

The profit or loss in transactions in foreign currency-denominated contracts (whether they are traded in your own or another jurisdiction) will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of the contract to another currency.

8. Trading Facilities

Most open-outcry and electronic trading facilities are supported by computer-based component systems for the order routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. Your ability to recover certain losses may be subject to limits on liability imposed by the system provider, the market, the clearinghouse and/or member firms. Such limits may vary: you should ask GET for details in this respect.

9. Electronic Trading

Trading on an electronic trading system may differ not only from trading in an open-outcry market but also from trading on other electronic trading systems. If you undertake transactions on an electronic trading system, you will be exposed to risks associated with the system including the failure of hardware and software. The result of any system failure may be that your order is either not executed according to your instructions or is not executed at all.

10. Off-Exchange Transactions

In some jurisdictions, and only then in restricted circumstances, firms are permitted to effect off- exchange transactions. The firm with which you deal may be acting as your counterpart to the transaction. It may be difficult or impossible to liquidate an existing position, to assess the value, to determine a fair price or to assess the exposure to risk. For these reasons, these transactions may involve increased risks. Off-exchange transactions may be less regulated or subject to a separate regulatory regime. Before you undertake such transactions, you should familiarize yourself with applicable rules and attendant risks.

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This statement does not disclose all the risks involved with Margin Foreign Exchange Trading, Contracts for Difference and Futures. You should therefore study Margin Foreign Exchange, Contracts for Difference and Futures markets and trading before becoming involved in it.

I/We declare by my/our signature/signatures:

.....

DATE :

to have received, read and understood this Risk Disclosure Statement and all of its contents, to have received, read and understood the Product Disclosure Statement relating to the relevant product, either FX, CFD, or Futures singularly or combined, and the Financial Services Guide supplied by GET, to have received additional in- depth verbal information about the product or products and the inherent possibilities and risks, to have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant product, to have received, read and understood the contractual terms and conditions for the relevant product or products, that I/we have had time and opportunity to present and discuss the entire basis of the product and the idea of the product with a third party, e.g. my/our accountant or lawyer, or financial advisor, that I/we have had the opportunity to introduce such a third party advisor into the negotiations undertaken with Global Electronic Trading Pty Ltd and, that the investment amount is chosen in the light of my/our total financial circumstances, investment objectives and needs and is considered reasonable as seen in this context.

OFFICE USE ONLY - Signature of Responsible Officer by whom this statement is given:

.....

NAME :

TITLE :

DATE :