



GLOBAL ELECTRONIC TRADING PTY LTD

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GET Financial

**CLIENT APPLICATION FORM
DIY SUPERANNUATION FUNDS**



GET FINANCIAL CLIENT APPLICATION FORM

Instructions on how to complete the client application form

1. Before completing the Client Application Form, make sure you have read and understood all information outlined in the General Business Terms, the Product Disclosure Statement and the Risk Disclosure Statement.
2. Postal returns should be sent to: Compliance Officer. Global Electronic Trading Pty Ltd
Suite 12.14, 401 Docklands Drive, Docklands, Vic. 3008.
3. Fax Details +61 3 9867 5567 Attn Compliance Officer.
4. E mail info@getfinancial.com.au
5. Detailed information on how to transfer funds will be sent once the account application has been approved.
6. A minimum of AUD \$500.00 or currency equivalent is required as the initial deposit.
7. If you have any questions relating to the application form contact an advisor on +61 3 9862 5000 or email info@getfinancial.com.au

DIY Superannuation Funds

- Section 1, Part 1, 2, 4 and 5. Section 2, Section 3 and Section 4 of the application form must be completed.
- The Full Name section of Part 1 should be filled out in the Superannuation Fund name e.g. The Others Superannuation Fund.
- The Client's Name in Section 2 should be filled out in the Superannuation Fund name e.g. The Others Superannuation Fund.
- A Trustee of the Superannuation Fund must sign Section 2.
- The Name in Section 3 should be the Trustee and Client Name is the Superannuation Fund name e.g. The Others Superannuation Fund. A Trustee must sign Section 3.
- The Client Name in Section 4 should be the Superannuation Fund name e.g. The Others Superannuation Fund. A Trustee must sign Section 4.
- Up to two Trustees must include a drivers' licence number.
- Provide a copy of the Super Fund Document that contains the name of the Super Fund Trustee return via options above.

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Section 1 - Client Registration

Global Electronic Trading Pty Ltd (AFSL 222629) is by required legislation to obtain information about its clients' financial position, investment experiences and investment objectives and needs, in order to provide the services requested, in the best interest of the client.

Part 1. General Client Details

Full Name:	_____			
Current Address:	_____			

State:	_____			
Postcode:	_____			
Country:	_____			
If less than 5 years at this address Previous Address: _____				

State:	_____			
Country:	_____			
Postcode:	_____			
Drivers Licence Number:	_____	Date Of Birth: D	M	Y
Drivers Licence Number:	_____	Date Of Birth: D	M	Y
Phone (work):	_____			
Fax (work):	_____			
Phone (private):	_____			
Fax (private):	_____			
Mobile phone:	_____			
E-mail:	_____			

Do you have a current Simulation Account?

Yes No

Simulation Account number: _____

Would you like to extend your Simulation Account for another 30 days?

Yes No

***Please note Simulation Accounts can only be extended beyond this once your new account has been funded.**

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Part 2. Investment Experience

Have you used an Internet Share Trading Platform before? Yes No

Would you consider yourself

- A professional investor
- An experienced investor
- An inexperienced investor

Would you consider yourself

- Risk willing
- Risk neutral
- Risk adverse

How did you hear about GET Financial?

Please tick the instruments in which you have previously invested

- ASX Shares
 - International Shares
 - Other (please list)
 - CFD's / shares on margin
 - Real Estate
 - Options
 - Futures
 - Foreign Exchange
- _____

Trading objectives

- To attempt to achieve speculative gains
- To hedge other investment positions or physical stock held
- To hedge commercial risk

Which products issued by Global Electronic Trading Pty Ltd are of interest to you?

- ASX Shares
- International Shares
- CFDs
- Futures
- Options
- Foreign Exchange

Tick your preference for financial data feed for Australian Shares and CFD prices

- Live ASX data feed. A monthly fee of A\$37.50 is charged by the ASX (Compulsory for DMA)
- Delayed data feed. (approximatly 20 minute delay and no charge)

For CFD's do you require?

- DMA (Direct Market Access - must also subscribe for live ASX data above)
- STP (Straight Through Processing)

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CLIENT APPLICATION FORM

Part 4. Corporate Disclosure

Directors and Officers

Chairman/President _____

Managing Director/Vice President _____

Additional Director(s) _____

Financial Information

Preferred base currency for your company account if other than AUD _____

Approximate risk capital stated in base currency _____

Expected initial deposit in base currency _____

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Part 5. Signature

I/We declare by my/our signature(s)

To have read understood and agreed to the General Business Terms, Risk Disclosure Document, and Products Disclosure Statement and all of its contents.

To understand and accept the General Business terms and any other relevant terms and conditions (as amended from time to time) that apply to my/our entire account and trading relationship with GET.

To have received read and understood the Product Disclosure Statement relating to the relevant products.

To have received additional in depth verbal information about the products and the inherent risk possibilities associated.

To have received satisfactory answers to all my/our questions regarding the terms and conditions and other issues relating to the relevant products.

Furthermore I/We Confirm:

That the information provided by me/us and inserted in this form is correct.

That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is considered by me/us to be reasonable under such circumstances.

That I/we acknowledge that GET is only able to provide General advice to such effect, provided that I/we have supplied GET with correct and accurate information in this Client Application Form or as otherwise requested by GET.

I hereby certify that the information disclosed above is true and accurate:

Place and date

Signature

_____ / _____

OFFICE USE ONLY

Section 1 of this Application is confirmed and accepted by **Global Electronic Trading Pty Ltd.**

NAME:.....

SIGNATURE:.....

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Section 2 - Risk Disclosure Statement for Foreign Exchange, CFDs and Futures

Client's Name:.....

This statement is given to you as required by section 1210 of the Corporations Law and the equivalent law of the State or Territory in which this statement is given.

This statement does not disclose all of the risks and other significant aspects of trading foreign exchange, contracts for difference (CFD's), Futures and options. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationship) into which you are entering and the extent of your exposure to risk. Trading in foreign exchange, CFD's, Futures and options is not suitable for many members of the public. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources and needs.

The risk of loss in trading foreign exchange, contracts for difference (CFD's), Futures and options can be substantial and you should consider this entire statement in conjunction with the relevant Product Disclosure Statement before deciding to become involved in this type of trading.

1. Effect of Leverage or Gearing

Transactions in foreign exchange, CFD's and Futures carry a high degree of risk. The amount of initial margin is small relative to the value of the foreign exchange, CFD or Futures contract so that transactions are "leveraged" or "geared". A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit with GET; this may work against you as well as for you. You may sustain a total loss of initial margin funds and any additional funds deposited with GET to maintain your position. If the market moves against your position or margin levels are increased, you may be called upon to pay substantial additional funds on short notice to maintain your position. If you fail to comply with a request for additional funds within the time prescribed, your position may be liquidated at a loss and you will be liable for any resulting deficit.

2. Risk-reducing Orders or Strategies

The placing of certain orders (e.g. "stop-loss" orders, where permitted under local law, or "stop-limit" orders), which are intended to limit losses to certain amounts, may not be effective because markets conditions make it impossible to execute such orders. Strategies using combinations of positions, such as "spread" and "straddle" positions may be as risky as taking simple "long" or "short" positions.

3. Variable Degree of Risk

Transactions in options carry a high degree of risk. Purchasers and sellers of options should familiarize themselves with the type of option (i.e., put or call) which they contemplate trading and the associated risks. You should calculate the extent to which the value of the options must increase for your position to become profitable, taking into account the premium and all transaction costs.

The purchaser of options may offset or exercise the options or allow the option to expire. The exercise of an option results either in a cash settlement or in the purchaser acquiring or delivering the underlying interest. If the purchased option expires worthless, you will suffer a total loss of your investment, which will consist of the option premium plus transaction costs. If you are contemplating purchasing deep-out-of-the-money options, you should be aware that the chance of such options becoming profitable ordinarily is remote.

Selling ("writing" or "granting") an option generally entails considerably greater risk than purchasing options. Although the premium received by the seller is fixed, the seller may sustain a loss well in excess of that amount. The seller will be liable for additional margin to maintain the position if the market moves unfavourably. The seller will also be exposed to the risk of the purchaser exercising the option and the seller will be obligated to either settle the option in cash or to acquire or deliver the underlying interest. If the option is "covered" by the seller holding a corresponding

position in the underlying interest or a future or another option, the risk may be reduced. If the option is not covered, the risk of loss can be unlimited.

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Certain exchanges in some jurisdictions permit deferred payment of the option premium, exposing the purchaser to liability for margin payments not exceeding the amount of the premium. The purchaser is still subject to the risk of losing the premium and transaction costs. When the option is exercised or expires, the purchaser is responsible for any unpaid premium outstanding at that time.

4. Suspension or Restriction of Trading and Pricing Relationships

Market condition (e.g., illiquidity) and/or the operation of the rules of certain markets (e.g., the suspension of trading in any contract or contract month because of price limits or "circuit breakers") may increase the risk of loss by making it difficult or impossible to effect transactions or liquidate/offset positions. If you have sold options, this may increase the risk of loss.

5. Commission and Other Charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss and are detailed in the Product Disclosure Statements for FX, CFD'S and Futures.

6. Transactions in Other Jurisdictions

Transactions on markets in other jurisdictions, including markets formally linked to a domestic market, may expose you to additional risk. Such markets may be subject to regulation, which may offer different or diminished investor protection. Your local regulatory authority will be unable to compel the enforcement of the rules of regulatory authorities or markets in other jurisdictions where your transactions have been effected.

7. Currency Risks

The profit or loss in transactions in foreign currency-denominated contracts (whether they are traded in your own or another jurisdiction) will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of the contract to another currency.

8. Trading Facilities

Most open-outcry and electronic trading facilities are supported by computer-based component systems for the order routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. Your ability to recover certain losses may be subject to limits on liability imposed by the system provider, the market, the clearinghouse and/or member firms. Such limits may vary: you should ask GET for details in this respect.

9. Electronic Trading

Trading on an electronic trading system may differ not only from trading in an open-outcry market but also from trading on other electronic trading systems. If you undertake transactions on an electronic trading system, you will be exposed to risks associated with the system including the failure of hardware and software. The result of any system failure may be that your order is either not executed according to your instructions or is not executed at all.

10. Off-Exchange Transactions

In some jurisdictions, and only then in restricted circumstances, firms are permitted to effect off- exchange transactions. The firm with which you deal may be acting as your counterpart to the transaction. It may be difficult or impossible to liquidate an existing position, to assess the value, to determine a fair price or to assess the exposure to risk. For these reasons, these transactions may involve increased risks. Off-exchange transactions may be less regulated or subject to a separate regulatory regime. Before you undertake such transactions, you should familiarize yourself with applicable rules and attendant risks.

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This statement does not disclose all the risks involved with Margin Foreign Exchange Trading, Contracts for Difference and Futures. You should therefore study Margin Foreign Exchange, Contracts for Difference and Futures markets and trading before becoming involved in it.

I/We declare by my/our signature/signatures:

.....

DATE :.....

to have received, read and understood this Risk Disclosure Statement and all of its contents, to have received, read and understood the Product Disclosure Statement relating to the relevant product, either FX, CFD, or Futures singularly or combined, and the Financial Services Guide supplied by GET, to have received additional in- depth verbal information about the product or products and the inherent possibilities and risks, to have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant product, to have received, read and understood the contractual terms and conditions for the relevant product or products, that I/we have had time and opportunity to present and discuss the entire basis of the product and the idea of the product with a third party, e.g. my/our accountant or lawyer, or financial advisor, that I/we have had the opportunity to introduce such a third party advisor into the negotiations undertaken with Global Electronic Trading Pty Ltd and, that the investment amount is chosen in the light of my/our total financial circumstances, investment objectives and needs and is considered reasonable as seen in this context.

OFFICE USE ONLY - Signature of Responsible Officer by whom this statement is given:

.....

NAME :

TITLE :

DATE :

**GET FINANCIAL
CLIENT APPLICATION FORM**

Section 3 - Guarantee and Indemnity form for Companies

In consideration of GLOBAL ELECTRONIC TRADING PTY LTD, a company duly incorporated in the State of New South Wales and having its registered office in Melbourne at Level 12 Suite 14, 401 Docklands Drv, Docklands 3008 (hereinafter referred to as GET) AGREEING at the request of the GUARANTOR

NAME:

(hereinafter collectively referred to as the Guarantor) to act in such capacity as the Client (as identified in the Client Registration Form) may from time to time direct for

CLIENT NAME:

(hereinafter referred to as the Client) the Guarantor hereby jointly and severally indemnifies and agrees to keep indemnified GET:

1. against any failure on the part of the Client to pay GET any and all moneys which are at the date hereof or at any time hereafter due and payable, or to become due and payable by the client by the Client to GET on any account whatsoever;
2. against all losses, suits, claims, proceedings, costs, damages and expenses of whatsoever kind suffered or incurred by GET by reason of any action on the part of the Client in relation to any agreements between the Client and GET.

and the Guarantor FURTHER AGREES WITH GET:

- (i) that this Indemnity shall be a principal obligation, immediately enforceable against the Guarantor and shall continue for the benefit of GET until GET receives at least seven (7) days notice in writing from the Guarantor of termination of this indemnity and shall protect GET against all and any obligations (contingent or actual) of the Client to GET incurred prior to the time such notice expires. In the event of any moratorium or suspension of the Client's obligations the Guarantor shall forthwith on demand pay to GET the whole of the moneys on whatsoever account due and payable or to become due and payable to GET by the Client;
- (ii) the Client or the Guarantor or by any modification or variation in the terms upon which moneys due and payable to GET by the Client are so due and payable or by any other thing which would but for this provision have the affect or releasing the Guarantor from liability hereunder.
- (iii) In the event of avoidance for any reason whether by statute or otherwise of any payment by the client or by the Guarantor to GET pursuant to this Indemnity, irrespective of whether such avoidance operates from the time of such payment or from any later date, the liability (contingent or actual) of the Guarantor and the rights and remedies of GET against the Client and/or the Guarantor shall be the same as if no such payment has been made;
- (iv) That this indemnity is in addition to and not in substitution for any other security which GET may hold and this Indemnity may be enforced against the Guarantor or any of them without first having recourse to any such security or taking any steps or proceedings against the Client;
- (v) That the Guarantor is aware of the terms and conditions of appointment of GET to act for and on behalf of the client on any account and in any capacity whatsoever;
- (vi) That whatsoever executes this Indemnity on behalf of the Guarantor has the power and authority of the Guarantor to do so;

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CLIENT APPLICATION FORM**

(vii) That this Indemnity shall be governed by the law of Victoria;

(viii) That the Guarantor shall obtain all necessary governmental approvals and authorisations and taken any such other actions as may be necessary to enable it to discharge its obligations under this Indemnity.

DATED:.....

.....
SIGNATURE GUARANTOR

.....
WITNESS (Print Name)

.....
WITNESS (Signature)

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Section 4 - Superannuation form

WHERE CLIENT IS TRUSTEE OF A SUPERANNUATION FUND

Definitions

1.1 In this schedule:

“Superannuation Fund” means the Superannuation Fund identified in the Client Registration Form.

“Fund Constitution” means the constitution governing the Superannuation Fund, as varied, substituted, supplemented or resettled from time to time.

Additional representations and warranties

2.1 Superannuation fund Representations and warranties.

The Client represents and warrants to GET that:

2.1.1 the transactions contemplated by this agreement insofar as they concern the Superannuation Fund:

- (a) comply with all requirements of the Superannuation Industry (Supervision) Act 1993 (the “Act”);
- (b) have been or are to be implemented in accordance with an investment strategy undertaken in accordance with Act, as contemplated by section 52(2)(f) of the Act;
- (c) comply with all the requirements of the Fund Constitution and rules of the Superannuation Fund, in force at the date of this agreement; and
- (d) have been or are undertaken on an arm’s length basis, for value and on commercial terms.

2.2 Trust Representations and Warranties

The Client represents and warrants to GET that:

2.2.1 the Superannuation Fund has been duly constituted and validly exists in compliance with all applicable laws and the Fund Constitution has been duly executed and duly stamped, in each case in accordance with the laws of each State and Territory of Australia;

2.2.2 the Fund Constitution and its constituent documents give it power:

- (a) to carry on all of the business activities now conducted by it in any capacity;
- (b) to enter into and comply with its obligations under, and to carry on the transactions contemplated by, this agreement;
- (c) all necessary resolutions have been duly passed and all consents have been obtained and all other procedural matters have been attended to as required by the Fund Constitution, any other document or any law for the entry into, observance and performance by it of its obligations under this agreement;
- (d) each of its obligations under, and the transactions contemplated by, this agreement constitute binding obligations and are completely and lawfully enforceable against it and the Trust’s property in accordance with their terms;
- (e) it is the only trustee of the Superannuation Fund;
- (f) no property of the Superannuation Fund has been re-settled, set aside or transferred to any other trust or settlement;

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CLIENT APPLICATION FORM**

(g) the Superannuation Fund has not been terminated, nor has the date or any event for the vesting of the Trust 's property occurred;

(h) no determination has been made to distribute the Superannuation Fund 's property on a date which is earlier than the latest date under the Fund Constitution by which the Superannuation Fund 's property must be distributed;

(i) there is no conflict of interest on the Client 's part in entering into this agreement and performing its obligations under it or the transactions contemplated by it;

(j) it has an unrestricted right to be fully indemnified or exonerated out of the Superannuation Fund's property in respect of any losses or liabilities incurred by it and the Superannuation Fund's property is sufficient to satisfy that right of indemnity or exoneration;

(k) it has complied with its obligations in connection with the Superannuation Fund.

DATED:

CLIENT'S NAME:.....

SIGNATURE :